

ATTORNEY'S DOCKET NO. R0346/7028 (RJK)  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Chan, et al.  
Serial No: 09/890,205  
Filed: July 26, 2001  
I.A. Filing Date: September 10, 1999  
For: BROADCAST MEDIA METADATA STRUCTURE

Examiner: Not Yet Assigned  
Art Unit: Unknown

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, D.C. 20231, on the 28th day of December 2001.

Ronald J. Kransdorf, Reg. No. 20,004

BOX PCT  
Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231

Sir:

Transmitted herewith is/are the following document(s):

- ☒ Information Disclosure Statement
- ☒ Form PTO-1449 and References
- ☒ Return Receipt Postcard

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Documents D2 and D3 of the International Preliminary Examination Report for International Application No. PCT/GB99/03010 are not included but will be forwarded at a later date.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

If it is determined that a fee is required, this may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

Ronald J. Kransdorf  
Reg. No. 20,004  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210-2211  
(617) 720-3500

Docket No. R0346/7028 (RJK)

December 28, 2001

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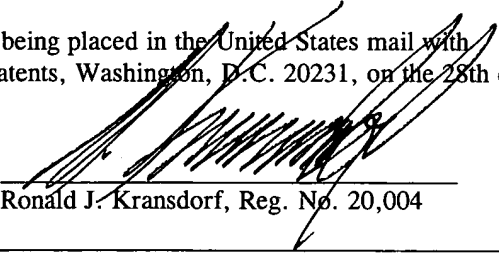
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Ronald J. Kransdorf, Reg. No. 20,004

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Washington, D.C. 20231

**STATEMENT FILED PURSUANT TO THE DUTY OF  
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98**

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Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

**PART I: Compliance with 37 C.F.R. §1.97**

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case.

**PART II: Information Cited**

A. [X] The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

B. [X] The Applicant hereby makes the following additional information of record in the above-identified application.

The above-identified U.S. application claims priority to application Serial No. PCT/GB99/03010. If the Examiner has not had the benefit of review of the file history of PCT//GB99/03010, then he/she is asked to contact the undersigned, who will provide a copy of same.

PART III: Remarks

Documents cited on the attached form PTO-1449 (modified) are enclosed unless otherwise indicated on the attached form PTO-1449 (modified). It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,  
*Chan, et al., Applicant(s)*

By: 

Ronald J. Kransdorf, Reg No. 20,004  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210  
Telephone (617) 720-3500

Docket No. R0346/7028 RJK

Dated: 12/28/01

**xndd**

<b>FORM PTO-1449/A and B (Modified)</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				APPLICATION NO.: 09/890,205		ATTY. DOCKET NO.: R0346/7028	
				FILING DATE:		July 26, 2001	
				APPLICANT:		Chan, et al.	
				GROUP ART UNIT: Unknown		EXAMINER: Not Yet Assigned	
Sheet	1	of	1				

#### U.S. PATENT DOCUMENTS

Examiner's Initials#	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYY
		Number	Kind Code		

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#### FOREIGN PATENT DOCUMENTS

Examiner's Initials#	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/ Country	Number	Kind Code			
		GB	2329044		Barry Keith Aldred	03/10/99	--
		GB	2293667		Bhandari, et al.	04/03/96	--
		WO	95/02218		Richard H. Bennion	01/19/95	--
		EP	0534466		Olson, et al.	03/31/93	--

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#### OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials#	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)
		International Search Report for International Application No. PCT/GB99/03010	
		International Preliminary Examination Report for International Application No. PCT/GB99/03010	
		One Page Listing Cited References of British Search Report for UK Priority Document GB 9901807.9	
		'1 <sup>st</sup> Report of EBU/SMPTE Task Force for Harmonized Standards for the Exchange of Television Program Material as Bit Streams', EBU Review-Technical, BE, European Broadcasting Union, Brussels, no. 272, 21 June 1997 (1997-06-21), pp. 1-73, XP000720137, ISSN: 0251-0936	
		MORGAN, O.: "Wrappers and Metadata Sub Group Digital Video Standards", IEE Colloquium on the EBU- SMPTE Task Force: Building an Infrastructure for Managing Compressed Video Systems (Ref. No. 1997/382), pp. 5/1-7, XP002127283, 1997, London, UK	
		WILKIE, C.: "Multimedia Metadata – Our 70 Year Experience", 2 <sup>nd</sup> IEEE Metadata Conference, 'Online! 16-17 September 1997, XP002127284, Silver Spring, MD, USA	

EXAMINER	DATE CONSIDERED
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#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. \_\_\_\_\_, filed \_\_\_\_\_, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

[NOTE - Must provide a copy of any patent, publication, other information listed, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]